SEP 0 1 2003
Attorney's Dos es No. 0325.00482

268

**PATENT** 

并

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Pankaj K. Jha

Serial No.:

09/881,493

Filed:

June 14, 2001

Art Unit:

2681

Examiner:

E

For:

PROGRAMMABLE PROTOCOL PROCESSING ENGINE FOR

NETWORK PACKET DEVICES

RECEIVED

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450 SEP 0 4 2003

**Technology Center 2600** 

## TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION (37 CFR 1.97(b))

NOTE: "An information disclosure statement shall be considered by the Office if filed: (1) within three months of the filing date of a national application; (2) within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; or (3) before the mailing date of a first Office action on the merits, whichever event occurs last." 37 CFR 1.97(b).

## IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application before the mailing date of a first Office action on the merits, whichever event occurs last. 37 CFR 1.27(b)

CHRISTOPHER P. MAIORANA, P.C.

Christopher Maiorana

Registration No. 42,829

24025 Greater Mack, Suite 200

St. Clair Shores, MI 48080

(586) 498-0670

Dated: August 28, 2003

I hereby certify that this letter, the response or amendment attached hereto are being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 28, 2003.

Mary Donna Berkley

| Sheet  | 1 | of | 5 |
|--------|---|----|---|
| BIICEL | 1 | UΙ | J |

| Form PTO-1449 U.S. DEPARTMENT OF<br>COMMERCE PATENT AND<br>TRADEMARK OFFICE |    |      |          |         |             |      |             |            | ATTY DOCKET NO. 0325.00482  | SERIAL NO. 09/881,493 RECEIVED |                    |           |        |
|---|----|------|----------|---------|-------------|------|-------------|------------|---|--------------------------------|--------------------|-----------|--------|
| INF   | OR | ΜA   | TI(      | -<br>00 | dı          | RQ   | 202         | URE        | APPLICANT:<br>Pankaj K. Jha   | SEP 0 4 2003                   |                    |           |        |
| STA   | TE |      | c        | EP      |             |      | '           | NT         | FILING DATE June 14, 2001   | GROUP<br>2681                  | <del>chnolog</del> | y Cent    | er 26( |
|   |    | 1    | <u> </u> |         |             |      | Š           | U.         | S. PATENT DOCUMENTS   |                                |                    |           |        |
| Examiner<br>Initial   | D  | ocu  | me       | #1<br>- | <b>85</b> ° | 9,50 |             | Date       | Name  | Class                          | Sub-<br>Class      | Filing    | Date   |
|   | 4  | 2    | 3        | 6       | 2           | 4    | 5           | 11/25/1980 | Freeny et al.   | 370                            | 94                 |           |        |
|   | 4  | 5    | 4        | 2       | 5           | 0    | 2           | 9/17/1985  | Levinson et al.   | 370                            | 88                 |           |        |
|   | 4  | 7    | 0        | 0       | 3           | 4    | 1           | 10/13/1987 | Huang   | 370                            | 80                 |           |        |
|   | 4  | 7    | 6        | 1       | 7           | 8    | 1           | 8/2/1988   | Calvignac et al.  | 370                            | 94                 |           |        |
|   | 4  | 9    | 3        | 9       | 7           | 2    | 6           | 7/3/1990   | Flammer et al.  | 370                            | 94.1               |           |        |
|   | 4  | 9    | 7        | 4       | 1           | 8    | 9           | 11/27/1990 | Russon et al.   | 364                            | 900                |           |        |
|   | 5  | 1    | 4        | 4       | 6           | 1    | 9           | 9/1/1992   | Munter  | 370                            | 60.1               |           |        |
|   | 5  | 2    | 5        | 1       | 2           | 1    | 7           | 10/5/1993  | Travers et al.  | 370                            | 112                |           |        |
|   | 5  | 3    | 6        | 5       | 2           | 7    | 2           | 11/15/1994 | Siracusa  | 348                            | 426                |           |        |
|   | 5  | 5    | 5        | 5       | 2           | 4    | 3           | 9/10/1996  | Kakuma et al.   | 370                            | 58.2               |           |        |
|   | 5  | 5    | 8        | 3       | 8           | 5    | 9           | 12/10/1996 | Feldmeier   | 370                            | 471                |           |        |
|   | 5  | 6    | 1        | 0       | 7           | 4    | 4           | 3/11/1997  | Ho et al.   | 359                            | 118                |           |        |
| <u> </u>  | 5  | 9    | 1        | 5       | 2           | 5    | 2           | 6/22/1999  | Misheski et al.   | 707                            | 103                |           |        |
|   |    |      |          |         |             |      |             | FORE       | IGN PATENT DOCUMENTS  |                                |                    |           |        |
|   | D  | ocu  | me       | nt N    | Vo.         |      |             | Date       | Country   | Class                          | Sub-               | Transl.   |        |
| ···   |    |      |          |         |             | _    |             |            |   |                                | Class              | Yes       | No-    |
|   |    |      |          |         |             |      |             |            |   |                                | _                  |           |        |
|   |    |      |          |         | OI          | HI   | ER          | DOCUMENTS  | (Incl. Author, Title, Date, Pertinent   | Pages, etc.)                   |                    |           |        |
|   | w  | 'ide | ban      | d P     | ack         | et o | ove         | SONET (WPC | S), Cisco Sytems, Inc., 1999, pp. 1-8.  |                                |                    |           |        |
|   | C  | onf  | erer     | ice,    | 19          | 90,  | and         |            | iplexing method for optical subscriber communications: Connecting the Future  |                                |                    |           |        |
|   |    |      |          |         |             |      |             |            | n IP cell-switching; Computers and Cor<br>6-8, 1999, pps. 116-120.            | nmunications,                  | 1999 Proce         | edings,   |        |
|   |    |      |          |         |             |      | ues<br>i, 1 |            | (RFC) 1619", Internet Engineering Ta  | sk Force, Netw                 | ork Workir         | ng Grou   | p,     |
|   |    | •    |          |         |             |      |             |            |   |                                |                    |           |        |
| Examiner  |    |      |          |         |             |      |             |            | Date Considered   |                                |                    |           |        |
|   |    |      |          |         |             |      |             |            | or not citation is in conformance with Nopy of this form with next communicat |                                |                    | ough cita | ition  |

| 01 4  | • | C =  |  |
|-------|---|------|--|
| Sheet | 2 | of 5 |  |

| Form PTO-1          | 1449 U.S. DEPARTMENT OF<br>COMMERCE PATENT AND<br>TRADEMARK OFFICE |      |     |      |             |      |      |                                    | ATTY DOCKET NO. 0325.00482            | SERIAL NO<br>09/881,493 | REC                 | )EIV      | /F¢     |  |  |
|---------------------|--|------|-----|------|-------------|------|------|------------------------------------|---------------------------------------|-------------------------|---------------------|-----------|---------|--|--|
| INF                 | INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT                   |      |     |      |             |      |      |                                    | APPLICANT: SEP 0 4 2003 Pankaj K. Jha |                         |                     |           |         |  |  |
| STA                 |  |      |     |      |             |      |      |                                    | FILING DATE June 14, 2001             | GROUP - 2681            | <del>Technolo</del> | gy Cen    | .er 26( |  |  |
|                     |  |      | 1   | S.   |             |      |      |                                    | S. PATENT DOCUMENTS                   |                         |                     |           |         |  |  |
| Examiner<br>Initial | D  | ocu  |     | nt N | <i>'D</i> . | Dri  | Ank  | Date                               | Name                                  | Class                   | Sub-<br>Class       | Filing    | Date    |  |  |
|                     | 5  | 9    | 1   | 2    | 8           | 9    | 5    | 6/15/1999                          | Terry et al.                          | 370                     | 445                 |           |         |  |  |
|                     | 5  | 9    | 1   | 5    | 1           | 0    | 5    | 6/22/1999                          | Farmwald et al.                       | 395                     | 309                 |           |         |  |  |
|                     | 5  | 9    | 2   | 0    | 7           | 0    | 5    | 7/6/1999                           | Lyon et al.                           | 395                     | 200.7               |           |         |  |  |
|                     | 5  | 9    | 5   | 3    | 2           | 6    | 3    | 9/14/1999                          | Farmwald et al.                       | 365                     | 194                 |           |         |  |  |
|                     | 5  | 9    | 5   | 4    | 8           | 0    | 4    | 9/21/1999                          | Farmwald et al.                       | 710                     | 36                  |           |         |  |  |
|                     | 5  | 9    | 9   | 5    | 4           | 4    | 3    | 11/30/1999                         | Farmwald et al.                       | 365                     | 233                 |           |         |  |  |
|                     | 6  | 0    | 9   | 7    | 7           | 2    | 0    | 8/1/2000                           | Araujo et al.                         | 370                     | 355                 |           |         |  |  |
|                     | 6  | 2    | 1   | 2    | 1           | 8    | 5    | 4/3/2001                           | Steeves et al.                        | 370                     | 392                 |           |         |  |  |
|                     | 5  | 4    | 5   | 0    | 3           | 9    | 7    | 9/12/1995                          | Wahlman                               | 370                     | 60                  |           |         |  |  |
|                     | 5  | 7    | 9   | 6    | 9           | 4    | 4    | 8/18/1998                          | Hill et al.                           | 395                     | 200.8               |           |         |  |  |
|                     | 6  | 5    | 8   | 4    | 1           | 1    | 8    | 6/24/2003                          | Russell et al.                        | 370                     | 466                 |           |         |  |  |
|                     | 6  | 1    | 2   | 2    | 2           | 8    | 1    | 9/19/2000                          | Donovan et al.                        | 370                     | 401                 |           |         |  |  |
|                     |  |      |     |      |             |      |      | FORE                               | CIGN PATENT DOCUMENTS                 | 3                       |                     |           |         |  |  |
|                     | D  | ocu  | me  | nt N | ٧o.         |      |      | Date                               | Country                               | Class                   | Sub-                | Transl.   |         |  |  |
|                     |  |      |     |      |             |      |      |                                    |                                       |                         | Class               | Yes       | No      |  |  |
|                     |  |      |     |      |             |      |      |                                    |                                       |                         |                     |           |         |  |  |
|                     |  |      |     |      |             |      |      |                                    |                                       |                         |                     |           |         |  |  |
|                     |  |      |     |      | O           | CHO  | ER   | DOCUMENTS                          | 6 (Incl. Author, Title, Date, Per     | rtinent Pages, etc.)    | <u> </u>            |           |         |  |  |
|                     | PI   | PP ( | ove | r Si | mp          | le I | Data | Link (SDL) us                      | ing SONET/SDH with ATM-lik            | e framing, By J. Carls  | son et al., N       | 1ay 2000  |         |  |  |
|                     | PI   | PP I | Ext | ensi | ions        | s W  | ork  | ing Group INT                      | ERNET DRAFT, By N. Jones et           | al., June 2000, pages   | 1-10.               |           |         |  |  |
|                     |  |      |     |      |             |      |      | ctures for IP Op<br>pages 105-124. | tical Networking, By Jon Ander        | rson et al., Bell Labs  | Γechnical J         | ournal    |         |  |  |
|                     | In   | teri | net | Eng  | gine        | erii | ng T | Task Force INT                     | ERNET DRAFT, By Daniel O. A           | Awduche et al., Nove    | mber 1999           | pages 1-  | 20.     |  |  |
| Examiner            | <u> </u>   |      |     |      |             |      |      |                                    | Date Considered                       |                         | . <u> </u>          |           | -       |  |  |
|                     |  |      |     |      |             |      |      |                                    | or not citation is in conformance     |                         |                     | ough cita | tion    |  |  |

Form PTO-1449 U.S. ATTY DOCKET NO. SERIAL NO. COMMERCE BATENT AND 09/881,493 0325.00482 TRADEMARK OFFICE RECEIVE APPLICANT: SEP 0 4 2003 INFORMATION DISCLOSURE Pankaj K. Jha STATEMENT BY APPLICANT GROUP Technology Center 2600 FILING DATE June 14, 2001 2681 U.S. PATENT DOCUMENTS Document No. Class Sub-Filing Date Examiner Date Name Initial Class 9 5 8/31/1999 Ramfelt et al. 370 403 5 7 8 3 8 0 7/21/1998 370 509 Kuwahara 9/9/1997 370 474 5 6 6 6 3 5 Oksanen et al. 6/11/1996 370 Diaz et al. 58.1 2 1/25/1994 Hood et al. 370 58.1 5 1 3 3 2 5/12/1992 Takiyasu et al. 370 85.15 9 8 2 9 2 3/5/1991 370 60 Upp 7 395 6 0 5 7 8 8 6/13/2000 Vogel 370 6 5 8 0 5 3 7 6/17/2003 359 124 Chang et al. 5 3 2 0 8 8 3/11/2003 Dantu et al. 359 119 6 7 5 6 5 0 1 6 12/31/2002 Katsube et al. 370 392 3 3 9 7 8 12/18/2001 370 392 Ravikanth et al. FOREIGN PATENT DOCUMENTS Document No. Class Sub-Transl. Date Country Class No OTHER DOCUMENTS (Incl. Author, Title, Date, Pertinent Pages, etc.) Doshi et al., Simple data link (SDL) protocol: an efficient and low complexity data link protocol for high-speed packet networks, Global Telecommunications conference, 1999, GLOBECOM '99, volume 2, Dec. 5-9, 1999, pp. 1295-1301. Tsong-Ho Wu, "Cost-Effective Network Evolution", IEEE Communications Magazine, September 1993, pps. 64-D. Grossman, "Request for Comments: 2684", September 1999, pp. 1-23. A. Malis, "Requests for Comments: 2615", June 1999, pp. 1-8. D. Tsiang et al., INTERNET DRAFT, "The Cisco SRP MAC Layer Protocol", May 1, 2000, pp. 1-52. **Date Considered** Examiner Examiner: Initial if references considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SEP 0 2 2003

| Sheet | 4 | of 5 |  |
|-------|---|------|--|
| Sheet | 7 | OI J |  |

| Form PTO-1          | O-1449 U.S. DEPARTMENT OF<br>COMMERCE PATENT AND<br>TRADEMARK OFFICE |     |             |          |     |     |          |            | ATTY DOCKET NO. 0325.00482           | SERIAL NO<br>09/881,493 | Э.            |          |        |
|---------------------|--|-----|-------------|----------|-----|-----|----------|------------|--------------------------------------|-------------------------|---------------|----------|--------|
| _/                  |  | T   | RA          | DE       | MA  | ARK | ( 0      | FFICE      | ,                                    |                         | REC           | =1/,/    | FD     |
| INE                 | OR   | MA  | <b>7</b> 10 | Q        | bis |     | 06       | URE        | APPLICANT:<br>Pankaj K. Jha          |                         | SEP (         |          |        |
| S12                 | AIE  | (_  | S           | EP       |     | 201 | •        | W I        | FILING DATE<br>June 14, 2001         | GROUP TO                | echnology     | Cente    | er 260 |
|                     |  | 1   | Ž,          |          |     |     | 2        | U.:        | S. PATENT DOCUMENTS                  |                         |               |          |        |
| Examiner<br>Initial | D  | ocu | me          | TR<br>nt | 185 | ur. | <i>?</i> | Date       | Name                                 | Class                   | Sub-<br>Class | Filing   | Date   |
|                     | 6  | 2   | 3           | 6        | 6   | 6   | 0        | 5/22/2001  | Heuer                                | 370                     | 409           |          |        |
|                     | 6  | 1   | 6           | 9        | 7   | 4   | 9        | 1/2/2001   | Dove et al.                          | 370                     | 474           | ,        |        |
|                     | 6  | 1   | 2           | 2        | 2   | 8   | 1        | 9/19/2000  | Donovan et al.                       | 370                     | 401           |          |        |
|                     | 6  | 0   | 7           | 5        | 7   | 8   | 8        | 6/13/2000  | Vogel                                | 370                     | 395           |          |        |
|                     | 6  | 3   | 2           | 0        | 8   | 6   | 3        | 11/20/2001 | Ramfelt                              | 370                     | 404           | :        |        |
|                     | 5  | 8   | 0           | 2        | 0   | 4   | 3        | 9/1/1998   | Skillen et al.                       | 370                     | 258           |          |        |
|                     | 6  | 5   | 4           | 6        | 0   | 2   | 1        | 4/8/2003   | Slane                                | 370                     | 465           |          |        |
|                     | 6  | 5   | 8           | 4        | 1   | 1   | 8        | 6/24/2003  | Russell et al.                       | 370                     | 466           |          |        |
|                     | 6  | 4   | 0           | 0        | 7   | 2   | 0        | 6/4/2002   | Ovadia et al.                        | 370                     | 395.64        |          |        |
|                     | 6  | 0   | 4           | 7        | 0   | 0   | 2        | 4/4/2000   | Hartmann et al.                      | 370                     | 466           |          |        |
|                     | 6  | 0   | 1           | 1        | 8   | 0   | 2        | 1/4/2000   | Norman                               | 370                     | 466           |          |        |
|                     | 5  | 5   | 3           | 7        | 4   | 2   | 8        | 7/16/1996  | Larsson et al.                       | 371                     | 37.1          |          |        |
|                     |  |     |             |          |     |     |          | FORE       | IGN PATENT DOCUMENTS                 |                         |               |          |        |
|                     | D  | ocu | me          | nt N     | Vo. |     |          | Date       | Country                              | Class                   | Sub-          | Transl.  |        |
|                     |  |     |             |          |     |     |          |            |                                      |                         | Class         | Yes      | No     |
|                     |  |     |             |          |     |     |          |            |                                      | ·                       |               |          |        |
|                     |  |     |             |          |     |     |          |            |                                      |                         |               |          |        |
|                     |  |     |             |          | OI  | THI | ER       | DOCUMENTS  | G (Incl. Author, Title, Date, Pertin | nent Pages, etc.)       |               |          |        |
|                     |  |     |             |          |     |     |          |            |                                      |                         |               |          |        |
| o                   |  |     |             |          |     |     |          |            |                                      |                         |               |          |        |
|                     |  |     |             |          | -   |     |          |            | ,                                    |                         |               |          |        |
|                     |  | -   |             | _        |     |     |          |            |                                      |                         |               |          |        |
|                     | T  |     |             |          |     |     |          |            |                                      |                         |               |          |        |
| Examiner            | 1  |     | -           |          |     | -   |          |            | Date Considered                      |                         |               |          |        |
|                     |  |     |             |          |     |     |          |            | or not citation is in conformance w  |                         |               | ough cit | ation  |

| Sheet | 5 | of | 5 |  |
|-------|---|----|---|--|
| SHOOL | J | Οī | , |  |

| Form PTO-1          |     |          |     |        |     |        |     |            | ATTY DOCKET NO. 0325.00482  SERIAL NO. 09/881,493  RECEIVE            |                                       |  |           |          |  |
|---------------------|-----|----------|-----|--------|-----|--------|-----|------------|---|---------------------------------------|--|-----------|----------|--|
| ,                   |     | _        |     |        |     | _      |     |            | APPLICANT:  | <u> </u>                              | u 1110                                     | - ib-     | 771 Face |  |
| INF<br>STA          | ATE | MA<br>ME | TIC | N<br>N | ΥA  | PP.    | LIC | ANTO       | Pankaj K. Jha FILING DATE   | GROUP Te                              | SEP 0 4 2003  GROUP Technology Center 2600 |           |          |  |
|                     |     |          |     | 13     | 21  | יין אַ | 2   | 2003       | June 14, 2001   | 2681                                  |  |           |          |  |
|                     |     |          |     | 1      | Ç,  |        |     | U.S        | S. PATENT DOCUMENTS   |                                       |  | 1         |          |  |
| Examiner<br>Initial | D   | ocu      | me  | nt N   | ۱o. | ·//    |     | Date U.S   | Name  | Class                                 | Sub-<br>Class                              | Filing    | Date     |  |
|                     | 5   | 8        | 3   | 1      | 9   | 7      | 0   | 11/3/1998  | Arao  | 370                                   | 227  |           |          |  |
| ·                   | 6   | 0        | 2   | 8      | 8   | 6      | 1   | 2/22/2000  | Soirinsuo et al.  | 370                                   | 395  |           |          |  |
| ****                | 6   | 3        | 3   | 1      | 9   | 7      | 8   | 12/18/2001 | Ravikanth et al.  | 370                                   | 392  |           |          |  |
|                     | 6   | 3        | 5   | 6      | 3   | 6      | 8   | 3/12/2002  | Arao  | 359                                   | 124  |           |          |  |
|                     | 6   | 4        | 4   | 2      | 6   | 9      | 4   | 8/27/2002  | Bergman et al.  | 713                                   | 201  |           |          |  |
|                     | 5   | 7        | 9   | 6      | 7   | 2      | 0   | 8/18/1998  | Yoshida   | 370                                   | 245  |           |          |  |
|                     |     |          |     |        |     |        |     |            |   |                                       |  |           |          |  |
|                     |     |          |     |        |     |        |     |            |   |                                       |  |           |          |  |
|                     |     |          |     |        |     |        |     |            |   |                                       |  |           |          |  |
|                     |     |          |     |        |     |        |     |            |   |                                       |  |           |          |  |
|                     |     |          |     |        |     |        |     |            |   |                                       |  |           |          |  |
|                     |     |          |     |        |     |        |     |            |   |                                       |  |           |          |  |
|                     |     |          |     |        |     |        |     | FORE       | CIGN PATENT DOCUMENTS   |                                       |  |           |          |  |
|                     | D   | ocu      | me  | nt N   | ٧o. |        |     | Date       | Country   | Class                                 | Sub-                                       | Transl.   |          |  |
|                     |     |          |     |        |     |        |     |            |   |                                       | Class                                      | Yes       | No       |  |
|                     |     |          |     |        |     |        |     |            |   |                                       |  |           |          |  |
|                     |     |          |     |        |     |        |     |            |   |                                       |  |           |          |  |
|                     |     |          |     |        | 01  | (H)    | ER  | DOCUMENTS  | (Incl. Author, Title, Date, Pertin                                    | ent Pages, etc.)                      |  |           | ,        |  |
|                     |     |          |     |        |     |        |     |            |   | · <del>-</del> · ·                    | <del>.</del>                               |           |          |  |
|                     |     |          |     |        |     |        |     |            |   |                                       |  |           |          |  |
|                     |     |          |     |        |     |        |     |            |   |                                       | - ·  |           |          |  |
|                     |     |          |     |        |     |        |     | .,,        |   | <del>-</del> . <u>-</u>               |  |           |          |  |
|                     |     |          |     | _      |     |        |     |            |   | · · · · · · · · · · · · · · · · · · · |  |           |          |  |
| Examiner            |     |          |     |        |     |        |     | -          | Date Considered   |                                       |  |           |          |  |
|                     |     |          |     |        |     |        |     |            | or not citation is in conformance worpy of this form with next commun |                                       |  | ough cita | ation    |  |